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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Appl. No. : 10/804513 : Confirmation No.: 7652
Applicant : Peng Zhang, et al
Filed : 8/12/2002
For : Process Solutions Containing Surfactants

Art Unit : 1751
Examiner : Gregory E. Webb

Docket No. : 06293P3 USA
Customer No. : 23543

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

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UNDER 37 C.F.R. 1.97(c)

The information disclosure statement transmitted herewith is being filed **after** the period specified in § 1.97(b) but **before** the mailing date any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application.

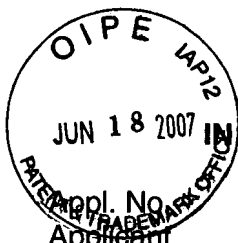
Accompanying this transmittal is

- ☒ a statement as specified in 35 C.F.R. 1.97(e)
or
☐ the fee set forth in 37 C.F.R. 1.17(p).

Respectfully submitted,

Joseph D. Rossi
Joseph D. Rossi
Attorney for Applicant(s)
Registration No. 47,038

7201 Hamilton Boulevard
Allentown, PA 18195-1501
(610) 481-8169



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This statement is being made for the Information Disclosure statement
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I, the person(s) signing below, state:

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or

☐ that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in § 1.56(c) more than three months prior to the filing of the statement. 37 CFR 1.97(e)(2).

The person making this statement is the attorney/agent who signs below on the basis of the information:

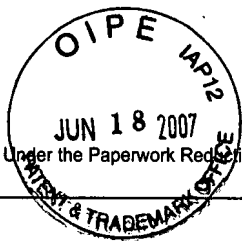
- ☐ supplied by the inventor(s).
- ☐ supplied by an individual designated in § 1.56(c).
- ☒ in the attorney's file.

Respectfully submitted,



Joseph D. Rossi
Attorney for Applicant(s)
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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
(Not for submission under 37 CFR 1.99)

Application Number	10616662
Filing Date	2002-08-12
First Named Inventor	Peng Zhang
Art Unit	1751
Examiner Name	Gregory E. Webb
Attorney Docket Number	06293P3 USA

U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code ¹	Issue Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	4833067		1989-05-23	Hatsuyuki Tanaka, et al	
	2	5650543		1997-07-22	Steven W. Medina	
	3	5756267		1998-05-26	Nobuaki Matsuda, et al	
	4	5977041		1999-11-02	Kenji Honda	
	5	6261745	B1	2001-07-17	Masahito Tanabe, et al	

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U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code ¹	Publication Date	Name of Patentee or Applicant of cited Document	Pages,Columns,Lines where Relevant Passages or Relevant Figures Appear
	1	2002016272	A1	2002-02-07	Masahiko Kakizawa, et al	

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FOREIGN PATENT DOCUMENTS

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Examiner Initial*	Cite No	Foreign Document Number ³	Country Code ² i	Kind Code ⁴	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear	T ⁵
	1	1095988	EP	A2	2001-05-02	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	2	1115033	EP	A1	2001-07-11	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	3	1115035	EP	A1	2001-07-11	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	4	1277829	EP	A2	2003-01-22	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	5	1297876	EP	A2	2003-04-02	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	6	1389745	EP	A1	2004-02-18	Air Products and Chemicals, Inc.		<input type="checkbox"/>
	7	02/23598	WO	A2	2002-03-21	Infineon Technologies North America Corp.		<input type="checkbox"/>

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NON-PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, pages(s), volume-issue number(s), publisher, city and/or country where published.	T ⁵
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Examiner Name	Gregory E. Webb
Attorney Docket Number	06293P3 USA

EXAMINER SIGNATURE

Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through a citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ See Kind Codes of USPTO Patent Documents at www.USPTO.GOV or MPEP 901.04. ² Enter office that issued the document, by the two-letter code (WIPO Standard ST.3). ³ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁴ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁵ Applicant is to place a check mark here if English language translation is attached.

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Attorney Docket Number	06293P3 USA

CERTIFICATION STATEMENT

Please see 37 CFR 1.97 and 1.98 to make the appropriate selection(s):

☒ That each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement. See 37 CFR 1.97(e)(1).

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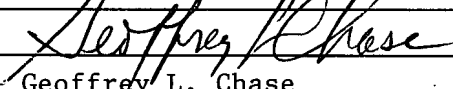
☐ See attached certification statement.

☐ Fee set forth in 37 CFR 1.17 (p) has been submitted herewith.

☐ None

SIGNATURE

A signature of the applicant or representative is required in accordance with CFR 1.33, 10.18. Please see CFR 1.4(d) for the form of the signature.

Signature		Date (YYYY-MM-DD)	2007-06-15
Name/Print	Geoffrey L. Chase	Registration Number	28059

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